

Application form

Information sheet

When to use this form

Use this form to apply for a new SignatureSuper – Allocated Pension.

If you're a beneficiary member applicant (recipient of a death benefit) you can also apply for a new SignatureSuper – Allocated Pension.

! Before you complete this form, please read the latest **product disclosure statement (PDS)** and **target market determination (TMD)**. Additional important information about this product can also be found in the **guides** and (if applicable) PDS updates available at amp.com.au/signaturesuper.

SignatureSuper – Allocated Pension offers:

- A transition to retirement allocated pension that has maximum payment limits and can be started if you've reached your preservation age but are under age 65.
- An allocated pension that has no maximum payment limits and can only be started on retirement or specific circumstances where the law allows.
- A diverse range of investment options from lower cost options to more sophisticated options, suiting a broad range of risk profiles.

Please contact your financial adviser or call us on 131 267 if you have any questions.

Completing this form

When completing this form please use a blue or black pen. If you make a mistake, simply cross it out and initial the change (don't use liquid paper). Alternatively, you can complete this fillable form by typing into the sections then printing the form and signing it with a pen.

Consolidating your super

You can only open a pension account with a single rollover amount. You can't add money to an existing pension account.

If you have multiple super accounts you'd like to consolidate or want to make extra contributions before starting a pension account, you can do this through your AMP super account. Go to amp.com.au/consolidation for more information. Alternatively, you can request to transfer multiple super accounts into one account using the ATO's online service in your My Gov account.

If you don't have an active AMP Super account, you can open a SignatureSuper account at amp.com.au/signaturesuper where you can consolidate all your super accounts before starting your SignatureSuper – Allocated Pension.

We can also help you to search for any lost super accounts you may have. Go to amp.com.au/superannuation/find-lost-super and follow the steps or you can use the ATO's super search in your My Gov account to do this.

Collection of Tax File Number (TFN)

We're required to tell you the following details before you provide your TFN for your super products.

Under the *Superannuation Industry (Supervision) Act 1993*, the trustee is authorised to collect, use and disclose your TFN, which will only be used for lawful purposes.

These purposes may change in the future as a result of legislative change. The trustee may disclose your TFN to another super provider when your benefits are being transferred, unless you request in writing that the trustee of your super fund not disclose your TFN to any other super provider.

It's not an offence not to quote your TFN. However, giving your TFN to the fund will have the following advantages (which may not otherwise apply):

- The fund will be able to accept all types of contributions to your account(s).
- The tax on contributions to your super account(s) will not increase.
- Other than the tax that may ordinarily apply, no additional tax will be deducted from your super benefits. This affects both contributions to your super and benefit payments when you start drawing down your super benefits, and
- It will make it easier to trace different super accounts in your name so that you receive all your super benefits when you retire.

If you don't provide your TFN you may also be subject to additional tax including an additional 32% tax on employer contributions.

These purposes may change in the future as a result of further legislative changes. More information about the use of TFNs for super changes can be obtained from the ATO hotline 131 020.

AMP privacy statement

The privacy of your personal information is important to us.

The AMP Privacy Policy provides more information about how we manage and protect your personal information. It sets out how you can access and correct your information, how you may complain about a breach of privacy, and our process for resolving privacy-related enquiries and complaints.

For further information, please go to amp.com.au/privacy.

Verifying a customer's identity

Proof of identity **must** be provided with this form.

A certified copy of **one** of the following documents must be provided:

- Current Australian driver's licence with your photograph. If your address has changed, include a copy of the back of your licence with your new address.
- Australian passport that is current or expired within the preceding two years.
- Card issued under a State or Territory law, for the purpose of providing a person's age, containing a photograph of the person in whose name the card is issued.
- Current foreign government issued passport (or similar international travel document) that contains your photograph and signature.

If you're sending proof of your identity, please send us original certified copies only. Don't send us the original documents.

If you're unable to provide one of these documents, please refer to amp.com.au/identification or contact your financial adviser or call us on 131 267 for further information on other documentation you may provide to verify your identity.

Where an agent has been appointed, the agent's proof of authority to act on behalf of the customer (such as a certified copy of **Power of Attorney** and certified copy of agent's proof of identity) must also be provided.

Note: Documents written in a language that isn't English must be accompanied by an English translation prepared by an accredited translator.

What is a certified copy?

A certified copy is a document that's been certified as a true copy of the original document by an authorised person. The following authorised persons are commonly used to certify documents:

- police officer or sheriff
- justice of the peace or notary public
- legal practitioner, magistrate, judge or registrar/deputy
- registrar of a court
- pharmacist, physiotherapist or veterinary surgeon
- optometrist, dentist, medical practitioner or nurse
- member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants.

You can see the full list of people who can certify documents or extracts at amp.com.au/identification.

Example:

I certify that this is a true and correct copy of the original document.

John Citizen

John Citizen, Justice of the Peace
10 Other Street
Suburb NSW 2000

02 9999 9999 30 May 2020

Please keep this information sheet for your records— don't return it with your completed form(s).

Application form

Use this form to apply for a new SignatureSuper – Allocated Pension.

If you're a beneficiary member applicant (recipient of a death benefit) you can also apply for a new SignatureSuper – Allocated Pension.



Before you complete this form, please read the latest **product disclosure statement (PDS)** and **target market determination (TMD)**.

Additional important information about this product can also be found in the **guides** and (if applicable) PDS updates available at amp.com.au/signaturesuper.

Please contact your financial adviser or call us on 131 267 if you have any questions.

Please print in CAPITAL LETTERS and place a cross in any applicable boxes.

1. Your details

Important: Please make sure that the name, address, and date of birth details you've provided below match the identification documents you're providing us with this form.

Title Date of birth

Surname

Given name(s)

Gender Male Female

Occupation (if retired, please write 'retired')

Industry (if retired, please write 'retired')

Residential address (a PO Box isn't acceptable)

Suburb State Postcode

Country of residence (please specify if not Australia) Australia Other

Country of citizenship (please specify if not Australia) Australia Other

Phone number Mobile number

Email address¹

1 We'll communicate with you by email. Please email or call us to change your communication preference.

1. Your details continued

Address for communications

Please cross if same as residential address.

Address

Suburb State Postcode

Country

Your wealth

How have you built your overall wealth?

- Income from employment (eg regular and/or bonus).
 Investment income (eg rent, dividends, pension).
 Business income.
 One-off payment (eg matured investment, court settlement, redundancy, inheritance).
 Sales of assets (eg shares, property).
 Windfall (eg gift, lottery winnings, gambling).

2. Tax File Number (TFN)

Please provide your TFN:

Note: You don't have to provide your TFN if you're aged 60 or over.

TFN:

If you're under age 60

If you don't provide us with a TFN declaration we're required to withhold tax up to the highest marginal tax rate plus the Medicare levy.

Have you completed an Australian Taxation office (ATO) TFN declaration form (available from ato.gov.au)?

- Yes
 No

7. Before starting your new pension account cont.

Recontribution of super (if applicable)

Complete this section if you want to withdraw a full or partial amount from your SignatureSuper account and recontribute it back to your SignatureSuper account as a member contribution. Do you want to do a recontribution of super?

- Yes—please attach a completed **recontribution of amounts withdrawn from your SignatureSuper account** form (form number NS7002_F) available at amp.com.au/findaform.
- No

Consolidating your AMP accounts (if applicable)

Do you want to consolidate your AMP accounts?

- No—go to section **consolidating non-AMP accounts**
- Yes—please provide details below:

Internal transfer 1

Account number

Please transfer: Full Partial Partial amount:

Internal transfer 2

Account number

Please transfer: Full Partial Partial amount:

Consolidating your non-AMP accounts (if applicable)

Do you want to consolidate your non-AMP accounts?

- No
- Yes—go to amp.com.au/consolidation for more information.

8. Initial income payment instructions

You can change the details below at any time.

Income payment frequency

Please choose the frequency of your income payment:

- Fortnightly Monthly Quarterly
- Half-yearly Yearly

Date of first income payment

Please choose an income payment start date:

D	D	M	M	Y	Y	Y	Y
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Note: If you don't choose an income payment start date, we'll pay your first income payment, one payment frequency after starting your pension account.

8. Initial income payment instructions continued

Regular income payment amount (gross of tax)

Please choose your regular income payment amount:

- Minimum** amount allowed under legislative guidelines.
- Maximum** amount allowed under legislative guidelines (only available for transition to retirement accounts).
- Nominated** amount entered below (subject to minimum/maximum guidelines per income payment frequency chosen above).

\$

Bank account details

Payments can only be made to a bank, building society or credit union (in your name/joint names).

Payments can't be made to a business, trust or loan account.

Financial institution name

Account name

BSB number

Account number

9. Death benefit nomination

Please refer to your **member guide** for beneficiary nomination information.

You can make a non-lapsing beneficiary nomination, or a reversionary beneficiary nomination below. Otherwise, you can do this securely online via **My AMP** without the need of witnesses.

If you don't make a death benefit nomination, your benefit will be paid to your estate. If you don't want to make a nomination or if you want to make your nomination online via your **My AMP** account, there's no need to complete this section.

What kind of nomination do you want to make (select **one** option only)?

- Non-lapsing** (nomination won't expire)

Complete the **nominated beneficiaries** section on the next page and have two witnesses sign section **12. Witness declaration**.

- Reversionary beneficiary**

Complete the **reversionary death benefit nomination** section on the next page. No witness signatures are required.

9. Death benefit nomination continued

Nominated beneficiaries

I nominate the following beneficiaries to receive the specified proportion of the benefit payable at my death:

Beneficiary name 1

Date of birth

Proportion of benefit?

 %

Gender

 Male Female

Relationship to you

 Spouse Child Financial dependant Interdependent relationship

Beneficiary name 2

Date of birth

Proportion of benefit?

 %

Gender

 Male Female

Relationship to you

 Spouse Child Financial dependant Interdependent relationship

Beneficiary name 3

Date of birth

Proportion of benefit?

 %

Gender

 Male Female

Relationship to you

 Spouse Child Financial dependant Interdependent relationship

Beneficiary name 4

Date of birth

Proportion of benefit?

 %

Gender

 Male Female

Relationship to you

 Spouse Child Financial dependant Interdependent relationship

Proportion of benefit?

Estate/Legal Personal Representative

 %

Total **1 0 0** %

9. Death benefit nomination continued

Reversionary death benefit nomination

Complete this section if you want to nominate a reversionary beneficiary:

Spouse full name

Spouse date of birth

Gender

 Male Female

10. Advice fees (if applicable)

If you've agreed on an advice fee for personal advice with a financial adviser who has an agreement with AMP, please complete this section with your financial adviser.

Refer to the **advice fees for personal advice** section of the SignatureSuper **member guide** for more information.

A one-off advice fee amount

A one-off amount paid from my initial investment. This fee can only be deducted for agreed advice services provided within 12 months from the fee start date.

Super account

(Including GST)

Fee start date

Or

Pension account

(Including GST)

Fee start date

Fixed term advice fee

Your consent for the fixed term advice fee will last for the period you nominate below as agreed between you and your adviser up to a maximum of 12 months.

Pension account

 per month (including GST)

Or

 % pa of your account value (including GST) paid monthly

Fee start date


Fee end date

Notes:

- Advice fees that are deducted from your account will reduce your account balance over time.
- You can't select both a dollar amount and a percentage at the same time for the fixed term advice fee for personal advice.
- These fees won't be paid if your account balance is below \$10,000.
- If no amount is entered in this section, no advice fee for personal advice will apply.
- There are limits on the amount of advice fees that may be deducted, refer to the member guide for more information about advice fee caps.

11. Investment options

- There are risks with investment options. Go to amp.com.au/investment-risks to find out more about the risks of investing and investment choices. You can also find more information about investment options in the investment guide available at amp.com.au/signaturesuper.
- You can select up to 15 investment options for your SignatureSuper - Allocated Pension account.
- You can nominate your investment profile in the **I want my initial account balance to be** column in the investment option table on the next page. The total must add up to 100%.
- Alternatively, if you have a SignatureSuper account, you can choose to continue to use your investment option profile from your SignatureSuper account for your new SignatureSuper - Allocated Pension account. The investment allocation will be the same investment weighting proportions as held on the day of the super to pension transfer. If you choose this option, your payments will be paid as per the default payment option in the following section.

 Some investment options aren't available in SignatureSuper - Allocated Pension. Refer to the **investment guide** or the investment option table on the next page for available investment options.

11. Investment options continued

Please choose the initial investment allocation for your new pension account:

- Cross this box to continue using your investment profile from your SignatureSuper account for your new SignatureSuper - Allocated Pension account.

Note: Term deposits held in your super account can't continue in your pension account. A new term deposit will need to be created. If you want to invest in a term deposit, please complete a term deposit form available at amp.com.au/forms.


If you've selected this box and you've chosen not to set up a term deposit in your pension account, go to section **auto-rebalancing**.

Please choose where your income payments are drawn from:

- Default payment option – deduct pension payments from each investment option in proportion to the value of your investment option at the time.

Or

- Nominated payment profile – deduct pension payments from the nominated investment options in the proportions you request in the **I want my income payments from** column in the investment option table on the next page. The total must add up to 100%.

 We'll use the default payment option if you don't choose where your income payments are to be drawn from.

Auto-rebalancing

Refer to the **investment guide** for more information about auto-rebalancing.

Would you like your investments to auto-rebalance?

- No
- Yes, please choose the frequency:

Quarterly Half yearly Yearly

Investment option name	Pension Code	Transition to retirement code	I want my initial account balance to be: Percentage (%)	I want my income payments from: Percentage (%)
Multi-sector investment options				
Actively managed				
Future Directions Balanced	NAFB	SAFB		
Future Directions Conservative	NAFC	SAFC		
Future Directions Growth	NAFG	SAFG		
Future Directions High Growth	NAFA	SAFA		
Future Directions Moderately Conservative	NAFM	SAFM		
Passively managed				
Balanced Index	NBEI	SBEI		
Conservative Index	NCEI	SCEI		
Growth Index	NBEG	SBEG		
High Growth Index	NEHG	SEHG		
Moderately Conservative Index	NMCO	SMCO		
Environmental, social and governance focused				
Pendal Sustainable Balanced	NESG	SESG		
Single-sector investment options				
Actively managed				
Specialist Australian Share	NAFU	SAFU		
Specialist Australian Small Companies	NFSC	SFSC		
Specialist Diversified Fixed Income	NCDI	SCDI		
Specialist Geared Australian Share	NAFS	SAFS		
Specialist International Share	NAFI	SAFI		
Specialist International Share (Hedged)	NAFH	SAFH		
Passively managed				
Australian Fixed Interest Index	NOPL	SGMH		
Australian Property Index	NPEI	SPEI		
Australian Share Index	NASE	SASE		
Global Fixed Interest Index (Hedged)	NIFI	SIFI		
Global Listed Infrastructure Index (Hedged)	NGLH	SGLH		
Global Property Index (Hedged)	NLPH	SLPH		
International Share Index	NISE	SISE		
International Share Index (Hedged)	NISH	SISH		
Cash				
Super Cash	NBSC	SBSC		
			Total	
				%
				%

12. Acknowledgment and declaration

I agree and declare that:

- I've received and have been given the opportunity to read the PDS, the guides (which are part of the PDS) and TMD.
- I'm applying to become a member of the SignatureSuper – Allocated Pension, which is part of the AMP Super Fund.
- I acknowledge that it may be a criminal offence to knowingly provide false or misleading information or documents in connection with this application. I'm not commonly known by any names different to those disclosed in this application form, unless I have disclosed otherwise to N.M. Superannuation Pty Ltd (N.M. Super).
- Any document or information to be used for the purposes of this application (whether or not provided on or with this application) may be used for any other products, services or benefits offered or provided to me/us by or through N.M. Super or any other company in the AMP group.

Investment choices

- I request N.M. Super to complete my investment instructions in accordance with my selections in this form.
- I understand the risks and effects of my investment choice.

Advice fees

- I've read and understood the information provided in my SignatureSuper PDS about the one-off and fixed term advice fees.
- I've agreed to the advice fees with my financial adviser for personal advice outlined in section **10. Advice fees (if applicable)** of this form and request AMP to process these fees.
- I understand that I can cancel my advice fees at any time by contacting my financial adviser or AMP. If I want to change the one-off advice fee, I will contact AMP before the fee start date.
- I confirm that the advice fees I've agreed on, only relate to the services my financial adviser provides for the account I've nominated in section **10. Advice fees (if applicable)** of this form and not to other advice/services my adviser is also providing.
- This agreement entitles me to receive one or more of the following super advice services as agreed with my adviser:
 - contribution strategies
 - super/pension investment portfolio review
 - accessing my super/pension benefits.
- I've given my consent for the advice fees to be deducted from my account.

12. Acknowledgement and declaration continued

Transfer of super benefits

- I authorise the transfer of my benefits from my previous super fund(s) (if requested) to my SignatureSuper account (if applicable).
- I authorise the transfer of my benefits from my SignatureSuper account to a SignatureSuper – Allocated Pension.
- I'm aware that I can ask the trustee for all the information that I need to understand my benefit entitlements in my super accounts (including information on the fees, insurance cover, investment options and the effect of a transfer on those benefit entitlements) and I don't need any further information.
- If I have insurance cover within a super account, I understand that the full transfer of my benefit may cause cancellation of that insurance cover. I have all relevant information that I need to understand the implications that the transfer will have on my insurance cover.
- I'm aware of the deduction of any transfer fees from my super account (if any) and any tax payable from the benefits transferred (subject to legislative restrictions).
- I understand that in certain circumstances N.M. Super may deduct tax from the untaxed portion (if any) of the super lump sum or directed termination payments rolled over to my SignatureSuper account.
- If I have a term deposit in my SignatureSuper account to be transferred to my SignatureSuper - Allocated Pension, I understand that my term deposit will be broken if I've requested a:
 - full transfer, or
 - partial transfer amount that's over the balance of my investment options excluding any term deposit.

Death benefit nomination

- I've read and understood the information about death benefit nominations in the SignatureSuper PDS (and any additional updates to that PDS).
- I request the trustee to accept my death benefit nomination for my SignatureSuper – Allocated Pension.
- Where I'm making a non-lapsing death benefit nomination as part of this application, the two witnesses who signed this form below were present at the time of signing.

Acknowledgement about AMP Super Fund

- I've read and understood the SignatureSuper PDS and understand that except as expressly disclosed in the PDS:
- Investments in the investment options are not deposits or liabilities of N.M. Super, AMP Bank Limited ABN 15 081 596 009 (AMP Bank), any other member of the AMP group, or any of the investment managers.
 - No person guarantees the performance of this super product or any of the investment options, or any particular rate of return or the repayment of capital.

12. Acknowledgement and declaration continued

Consent to online verification of identification documents

As part of your request, we may need to verify your identification documents online.

This may include:

- checking your identity against personal information held by a credit bureau, and
- checking your identification information with the issuer or official record holder of the identification you provide.

I consent (**cross this box**) to my identification documentation being used to carry out the identification checks described above.

Applicant name

Applicant signature

Date

D	D	M	M	Y	Y	Y	Y
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Parent or legal guardian declaration (for a beneficiary member applicant under age 18)

I agree and declare that:

- I'm the parent or legal guardian of the applicant.
- I understand and the applicant understands the consequences of investing in SignatureSuper – Allocated Pension, including reading all parts of the applicable PDS and by obtaining professional advice.
- The applicant has the capacity to understand the consequences of selecting or changing investments for their SignatureSuper – Allocated Pension account.
- I take joint and several responsibility for the consequences of this application and will reimburse and make N.M. Super whole in respect of any successful claims against N.M. Super made by or in respect of the applicant in relation to this application.

Parent or legal guardian name (if applicable)

Parent or legal guardian signature (if applicable)

Date

D	D	M	M	Y	Y	Y	Y
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13. Witnesses declaration

! Only complete this section if you're making a non-lapsing death benefit nomination as part of this application. Please make sure both witnesses are present to witness and sign this application **on the same date** as you.

Witness declaration:

- I'm over 18 years of age.
- I'm not a nominated beneficiary of this applicant and I'm not one of the beneficiaries named in section 9. **Death benefit nomination** of this form.
- This form was signed and dated by the applicant in my presence.
- I've signed and dated this form on the same day as the applicant.

Witness 1

! Both witnesses must sign **on the same date** as the applicant.

Given name(s)

Surname

Witness signature 1

Date

D	D	M	M	Y	Y	Y	Y
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Witness 2

! Both witnesses must sign **on the same date** as the applicant.

Given name(s)

Surname

Witness signature 2

Date

D	D	M	M	Y	Y	Y	Y
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14. Financial adviser use only

 To be completed by your financial adviser (if applicable).

Advice fee cap details

- Does your client have other super and/or pension accounts?
 Yes—go to the next question.
 No
- Are the advice fees in section 10. **Advice fees (if applicable)** more than advice fee caps that apply to your client's total account balances across all super and/or pension accounts?
 Yes—please refer to the following points for **advice fee cap exceptions**:
 - An advice fee cap exception approval is required to charge above the advice fee caps.
 - You can apply for an exception using the **advice fee cap exception request** (80068.0) available on **Planner Portal >Forms & tools > Product forms > Planner forms >Servicing and Maintenance**.
 - If you've received approval for an advice fee cap exception number (for one or more accounts), please include this below and submit a copy of your approval with this form.

Advice Fee cap exception number:

No

Design and distribution obligations

The following question is for the internal monitoring of our design and distribution obligations. It's intended to assist with the ongoing appropriateness of the TMD and design and distribution obligation compliance.

This information may be provided to Australian Securities and Investments Commission (ASIC) as requested by them.

Is the client **inside** the TMD for SignatureSuper - Allocated Pension?

- Yes
- No—please choose the reasons below:
- Risk of client harm is considered to be low.
 - Client is invested in a diversified portfolio.
 - I've assessed that this product is suitable for the client's objectives, financial situation, and needs.

Other—provide details below:

14. Financial adviser use only continued

Notes

I agree and declare that:

- The client has received personal advice in relation to this product, the advice is current, and this distribution is consistent with that advice.
- I've disclosed to my client all information relating to the one-off advice fee and fixed term advice fee and agreed with my client to the advice fee outlined in section 10. **Advice fees (if applicable)**.
- I understand that any advice fees nominated in this form will replace any arrangements previously agreed.
- Any advice fee is for advice services I've agreed with the member to directly provide for their account nominated in section 3. **Existing AMP super account details** and/or the new pension account.

Financial adviser ID

Financial adviser name

Name of licensee

AFSL number

Phone number

Email

Financial adviser signature

Date

D	D	M	M	Y	Y	Y	Y
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15. Checklist

Have you:

- Completed all relevant sections of this form?
- Attached the original certified proof of identity documentation as described in the information sheet?
- Completed and attached an ATO **TFN declaration form** if you're under age 60 (refer to section **2. Tax File Number (TFN)**)?
- If applicable, made any additional contributions to your super account and/or submitted an ATO **notice of intent to claim or vary a tax deduction for personal contributions form** (refer to section **7. Before starting your new pension account**)?
- If applicable, completed and attached a **recontribution of amounts withdrawn from your SignatureSuper account form** (refer to section **7. Before starting your new pension account**)?
- Read and understood section **12. Acknowledgement and declaration**?
- Signed and dated the form where indicated?

Where to send this form

Mail or email this completed form (and any other relevant documentation) to:

AMP Limited

PO Box 300

PARRAMATTA NSW 2124

ris_enquiries@amp.com.au

Any questions?

131 267